

## IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

International Application No. : PCT/DE2004/00132  
 International Filing Date : January 23, 2004  
 U.S. Serial No. : 10/540,479  
 Deposit Date U.S. Nat'l Phase : June 23, 2005  
 Priority Date(s) Claimed : January 23, 2003  
 Applicant(s) : Steffen GOLETZ et al.  
 Title: RECOGNITION MOLECULES FOR THE TREATMENT AND DETECTION OF  
 TUMOURS

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**  
**UNDER 35 U.S.C. § 371**  
**IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 11213-1450

Sir:

In response to the Notification of Missing Requirements dated February 10, 2006:

- ☒ Attached is a Declaration and Power of Attorney in compliance with 37 CFR § 1.53 along with the late filing surcharge of \$65.00
- ☒ Applicant(s) include an executed assignment and Recordation Form Cover Sheet with the recordation fee of \$40.00 (Check No. 40.00).
- ☒ Attached is a copy of the Notification of Missing Requirements.
- ☒ Applicant(s) request that the time for taking action in this case be extended pursuant to 37 CFR 1.136(a) for a period of one month(s) along with the fee of \$60.00.
- ☒ Applicant(s) are entitled to small entity status.
- ☒ Attached is a Statement to Support Filing.
- ☒ Attached is an Amendment.
- ☐ Applicant(s) include the extra claims fee of \$            for            claims in excess of 20            per additional claim, for            independent claims in excess of 3            per additional claim, and/or            for multiple dependent claims.

05/15/2006 MKAYPAGH 00000148 10540479

01 FC:2617                      65.00 DP

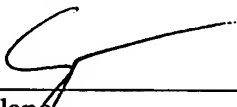
05/15/2006 MKAYPAGH 00000148 10540479

03 FC:2251                      60.00 DP

- ☒ Applicants affirm that the attached paper and disk versions of the sequence listing are identical and do not contain new matter.
- ☐ Attached is an Application Data Sheet (ADS).
- ☐ Attached are the substitute drawings as required.

Attached is a check in the amount of \$125.00. However, the Commissioner is hereby authorized to charge fees under 37 CFR § 1.16 and § 1.17 which may be required to facilitate this filing, or credit any overpayment to Deposit Account #13-3402.

Respectfully submitted,



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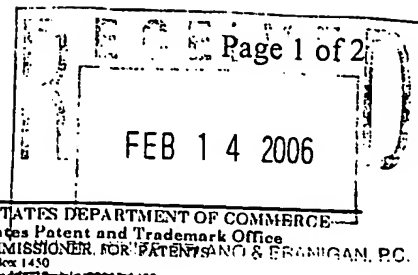
Attorney Docket No.: GULDE-63

Date: May 10, 2006



UNITED STATES PATENT AND TRADEMARK OFFICE

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App 2

U.S. APPLICATION NUMBER NO. 10/540,479	FIRST NAMED APPLICANT Steffen Goletz	ATTY. DOCKET NO. GULDE-63
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Arlington, VA 22201

INTERNATIONAL APPLICATION NO. PCT/DE04/00132	
I.A. FILING DATE 01/23/2004	PRIORITY DATE 01/23/2003

CASE \_\_\_\_\_  
ACTION msg reg mts  
DUE DATE 4/10/06

CONFIRMATION NO. 4918  
371 FORMALITIES LETTER  
\*OC000000017958609\*

Date Mailed: 02/10/2006

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 06/23/2005
- English Translation of the IA filed on 06/23/2005
- Preliminary Amendments filed on 06/23/2005
- Information Disclosure Statements filed on 06/23/2005
- Biochemical Sequence Diskette filed on 06/23/2005
- Biochemical Sequence Listing filed on 06/23/2005
- Small Entity Statement filed on 06/23/2005
- U.S. Basic National Fees filed on 06/23/2005
- Specification filed on 06/23/2005
- Claims filed on 06/23/2005
- Abstracts filed on 06/23/2005
- Drawings filed on 06/23/2005
- Paper nucleotide sequence listings filed on 06/23/2005

CASE \_\_\_\_\_  
ACTION \_\_\_\_\_  
DUE DATE \_\_\_\_\_

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27.

*Handwritten signature and date: 2/14/06*

must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- **\$65 Surcharge.**
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patent Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patent Software Program Help @ [ebc@uspto.gov](mailto:ebc@uspto.gov)

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

SHELBY J VIGIL

Telephone: (703) 308-9140 EXT 224

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/540,479	PCT/DE04/00132	GULDE-63